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RESPONSE TO OFFICE ACTION
Serial No. 10/026,854
Page 1 of 11

DEC 17 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re Application of:
Li, et al.**

Serial No.: 10/026,854

Filed: December 20, 2001

For: **ARTICLES FOR POLISHING
SEMICONDUCTOR
SUBSTRATES**

www.ck12.org

Atty. Docket:
AMAT/5765/CMP/CMP/RKK

Group Art Unit: 3723

Conf. No.: 1816

Examiner: **Grant, Alvin J.**

**Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

S I R:

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being transmitted by facsimile under 37 C.F.R. §1.8 on December 17, 2004 and is addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Facsimile No: (703) 872-9306.

12-17-04
Date

Signature

RESPONSE TO OFFICE ACTION DATED SEPTEMBER 24, 2004

In response to the Office Action dated September 24, 2004, having a shortened statutory period for response set to expire on December 24, 2004, please enter this response and reconsider the claims pending in the application for reasons discussed below. The Applicants believe that \$400.00 in excess claims fees are due in connection with this response. The Commissioner is hereby authorized to charge counsel's Deposit Account No. 20-0782, for this and any other fees, including extension of time fees or excess claim fees, required to make this response timely and acceptable to the Office.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper. **Remarks** begin on page 9 of this paper.

313275

TELEFAX COVER SHEET

MOSER, PATTERSON & SHERIDAN, LLP
ATTORNEYS AT LAW
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SUITE 100
SHREWSBURY, NJ 07702
TELEPHONE (732) 530-9404
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THIS MESSAGE HAS 14 PAGES INCLUDING THIS SHEET

TO: Commissioner for Patents
FAX NO.: 703-872-9306
FROM: Keith Taboada, Esq.
DATE: December 17, 2004
MATTER: Serial No. 10/026,854 Filed: December 20, 2001
DOCKET NO.: AMAT/5765/CMP/CMP/RKK
APPLICANT: Li, et al.

The following has been received in the U.S. Patent and Trademark Office on the date of this facsimile:

<input type="checkbox"/> Petition	<input type="checkbox"/> Transmittal Letter (2 copies)
<input type="checkbox"/> Disclosure Statement & PTO-1449	<input checked="" type="checkbox"/> Fee Transmittal (2 copies)
<input type="checkbox"/> Priority Document	<input checked="" type="checkbox"/> Deposit Account Transaction
<input type="checkbox"/> Drawings (<u> </u> sheets) informal	<input checked="" type="checkbox"/> Facsimile Transmission Certificate
<input type="checkbox"/> Amendment	dated <u>December 17, 2004</u>
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Allyson M. DeVesty
Name of person signing this certificate

Allyson M. DeVesty 12-17-04
Signature and date

325632

PTO/SB/17 (12-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**
For FY 2005☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 400**Complete if Known**

Application Number	10/026,854
Filing Date	December 20, 2001
First Named Inventor	Li, et al.
Examiner Name	Alvin J. Grant
Art Unit	3723
Attorney Docket No.	AMAT/5765/CMP/CMP/RKK

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):☒ Deposit Account Deposit Account Number: 20-0782 Deposit Account Name: Moser, Patterson & Sheridan

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments

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FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES**Fee Description**

	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
28 - 20 or HP =	0	\$50				
HP = highest number of total claims paid for, if greater than 20						
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)			
8 - 3 or HP =	2	\$200	\$400			
HP = highest number of independent claims paid for, if greater than 3						

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	\$250	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other:

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	45,150	Telephone	(732) 530-9404
Name (Print/Type)	Keith Taboada, Esq.	Date	12/14/2004		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4816).**FEE TRANSMITTAL**
For FY 2005☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) **400****Complete if Known**

Application Number	10/026,854
Filing Date	December 20, 2001
First Named Inventor	Li, et al.
Examiner Name	Alvin J. Grant
Art Unit	3723
Attorney Docket No.	AMAT/5765/CMP/CMP/RKK

METHOD OF PAYMENT (check all that apply)
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☒ Deposit Account Deposit Account Number: 20-0782 Deposit Account Name: Moser, Patterson & Sheridan

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Other: _____

SUBMITTED BY

Signature

Name (Print/Type)

Keith Taboada, Esq.

Registration No.
(Attorney/Agent)

45,150

Telephone (732) 530-9404

Date 12/14/2004

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